



Docket No. ATOPH:52516

DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHOD OF MANUFACTURING IMMUNE GLOBULIN**, the specification of which (check one)

___ is attached hereto
X was filed on September 13, 2000
As United States Application Number 09/660,862
and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a) - (d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one

country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

| Number | Country | Day/Mo./Yr. Filed | Priority Claimed | |
|-------------|---------|-------------------|------------------|-------|
| | | | Yes | No |
| <u>NONE</u> | _____ | _____ | _____ | _____ |
| <u>NONE</u> | _____ | _____ | _____ | _____ |

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| | |
|-------------------|-------------|
| <u>NONE</u> | _____ |
| Appln. Serial No. | Filing Date |

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the

prior application and the national or PCT International filing date of this application.

| <u>Appln. Serial No.</u> | <u>Filing Date</u> | <u>Status</u> |
|--------------------------|--------------------|---------------|
|--------------------------|--------------------|---------------|

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

RICHARD A. BARDIN, Reg. No. 20,365, CRAIG B. BAILEY, Reg. No. 28,786, RICHARD B. CATES, Reg. No. 36,100, I. MORLEY DRUCKER, Reg. No. 19,751; PAUL Y. FENG, Reg. No. 35,510; JOHN K. FITZGERALD, Reg. No. 38,881, JOHN V. HANLEY, Reg. No. 38,171; JAMES JUO, Reg. No. 36,177; GILBERT G. KOVELMAN, Reg. No. 19,552, THOMAS H. MAJCHER, Reg. No. 31,119, JOHN S. NAGY, Reg. No. 30,664, DAVID G. PARKHURST, Reg. No. 29,422, JAMES W. PAUL, Reg. No. 29,967, RONALD E. PEREZ, Reg. No. 36,891; ELLSWORTH R. ROSTON, Reg. No. 16,310; THOMAS A. RUNK, Reg. No. 30,679; DAVID S. SARISKY, Reg. No. 41,288; and HOWARD N. SOMMERS, Reg. No. 24,138.

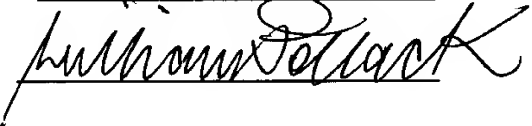
Direct all telephone calls to James W. Paul at telephone number (310) 824-5555.

Address all correspondence to:

James W. Paul, Esq.,
FULWIDER PATTON LEE & UTECHT, LLP
Howard Hughes Center
6060 Center Drive, Tenth Floor
Los Angeles, California 90045

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: WILLIAM POLLACK

Inventor's signature: 

Date: 11/07/00, 2000

Residence: Oceanside, California

Citizenship: U.S.

Post Office Address: 3749 Rosemary Way

Oceanside, California 92057



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL
ENTITY STATUS (37 CFR 1.9(f) AND 1.27(b)) - INDEPENDENT INVENTOR**

Applicant or Patentee: WILLIAM POLLACK
Application or Patent No. 09/660,862
Filed: September 13, 2000
Title: METHOD OF MANUFACTURING IMMUNE GLOBULIN

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in

- ☐ the specification filed herewith with the title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under or may be under an obligation under contract or law to assign, grant, convey, or license any rights in invention is listed below:

- ☐ No such person, concern or organization
Each such person, concern or organization is listed below
- ☒ Each such person, concern or organization is listed below

ATOPIX PHARMACEUTICALS CORPORATION
2835 Avenida Encinas, Suite B
Carlsbad, California 92008

☐ Individual ☒ Small Business Concern ☐ Nonprofit organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

WILLIAM POLLACK

NAME OF INVENTOR


Signature of Inventor

Date: 11/07/00



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL
ENTITY STATUS (37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN

Applicant or Patentee: WILLIAM POLLACK
Application or Patent No. Serial No. 09/660,862
Filed: September 13, 2000
Title: METHOD OF MANUFACTURING IMMUNE GLOBULIN

I hereby declare that I am

- ☐ the owner of the small business concern identified below
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: ATOPIX PHARMACEUTICALS CORPORATION
ADDRESS OF SMALL BUSINESS CONCERN: 2835 Avenida Encinas, Suite B, Carlsbad, CA 92008

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with the title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein on my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Michael W. Pompay
TITLE OF PERSON IF OTHER THAN OWNER: CEO and In-house Counsel
ADDRESS OF PERSON SIGNING: 2835 Avenida Encinas, Suite B, Carlsbad, California 92008

SIGNATURE:

William Pollack
Michael W Pompay

DATE: 11/07/2000